



Regulated markets supervision in Europe: practices and issues

Operational impacts, issues or actions from the buy-side to the surveillance platform of supervisors

PROGRAM:

- Goals and principles of markets' supervision : regulators /supervisors' experiences
- Structure and limits of the transactions information system : role and practice of supervisors
- Investigation and control by stock exchanges: main distinctive features of surveillance organization and investigation processes, issues, recommandations
- Brokers/ market participants : surveillance processing and issues

SPEAKERS :

- **Michel Prada**, Chairman of the International Valuation Standards Council Former Chairman of AMF
- **Arnaud Oseredczuck**, AMF Chef du Service Surveillance des marchés
- **Nick Bayley**, LSE Head of regulation
- **Carl-Frederik Scharffenorth**, Deutsche Börse
- **Marta Calado**, NYSE-EURONEXT- Head of Market Integrity Europe/Manager Portuguese Markets
- **Nicolette Kost de Sèvres**, Attorney at Law and Lead Researcher, World Capital MarketsCMCRC NYSE Euronext
- **Dorothee Pirel**, CA Cheuvreux- Compliance Officer

- Program
 - Download the registration form
 - [Registrations are closed](#)

☐Contacte EIFR +33 1 49 27 13 78 or e-mail : contact@eifr.eu

INFORMATIONS PRATIQUES

FORMAT

Séminaire

DATE

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LIEU

**Palais Brongniart,
Paris
Paris**

PARTICIPATION

gratuit

INSCRIPTION

www.eifr.eu

CONTACT

**contact@eifr.eu
01 70 98 06 53**